3-D maps of tree canopy geometries at leaf scale

Ecological Archives E090-019

HERVÉ SINQUET,1,8 SYLVAIN PINCEBOURDE,2 BORIS ADAM,1 NICOLAS DONES,1 JESSADA PHATTARALERPHONG,1,3,4 DIDIER COMBES,2 STÉPHANE PLOQUIN,1 KRISADA SANGSING,6 POONPIPOPE KASEMSAP,7 SORNPRACH THANISAWANYANGKURA,3 GÉRALDINE GROUSSIER-BOUT,2 AND JÉRÔME CASAS2

1UMR547 PIAF, INRA, Université Blaise Pascal, 63100 Clermont Ferrand, France
2Institut de Recherche sur la Biologie de l’Insecte, Université François Rabelais, Faculté des Sciences et Techniques, 37200 Tours, France
3Faculty of Botany, Kasetsart University, 50 Paholyothin Road, Chatuchak, Bangkok. 10900, Thailand
4Faculty of Natural Resources and Agro-Industry, Kasetsart University Chalermprakiat Sakonnakhon Province Campus, 59 Mool Sakonnakhon-Nakhonpranom Rd., Moong District, Sakonnakhon Province, 47000, Thailand
5UR4 Unité d’Ecophysiologie des Plantes Fourrageres, INRA, BP 6, 86600 Lusignan, France
6Surat Thani Rubber Research Center, Office of Agricultural Research and development Region 7, Department of Agriculture, Thailand
7Faculty of Horticulture, Kasetsart University, 50 Paholyothin Road, Chatuchak, Bangkok. 10900. Thailand

Abstract. The geometrical structure of plant canopies has many implications for plant functioning, microclimatic conditions, and plant-pathogen/herbivore interactions. Plant geometry can be described at several scales. At the finest scale, canopy structure includes the shape, size, location, and orientation of each organ in the canopy. This data set reports the three-dimensional (3-D) geometry of a set of fruit and rubber trees at the leaf scale. A 3-D magnetic digitizer was used to measure the spatial coordinates and the orientation angles of each leaf, namely, the midrib azimuth and inclination angles, and the rolling angle of leaf lamina around the midrib. In addition, for most trees, branching or flush order is given as well as the ranking of leaves along branches and the leaf identity of leaflets in compound-leaved trees. Leaf length was also measured for most trees. Leaf width was measured or estimated based on allometric relationships. Leaf area was derived from allometric relationships with leaf length and width. The data set includes the 3-D geometry of six trees: one apple, two mangos, two rubbers, and one walnut. Plant height ranged from 1.6 m for mango trees to 5.3 m for the large rubber tree. The number of leaves ranged from 895 for the small rubber tree to 26,283 for the apple tree. Total leaf area ranged from 3.6 m² for the small rubber tree to 36.4 m² for the apple tree. Most of the data were used to show how canopy geometry determines light interception and subsequently plant primary production and fruit yield. The data set was also used to test the quality of innovative methods for canopy structure description at tree scale. The apple tree was used to study the thermal environment of a leaf miner insect, to show how canopy geometry leads to a strongly heterogeneous risk of mortality, in particular under heat-wave conditions. Overall, our data set provides explicit plant architectures suitable for spatial modeling of plant physiological ecology and plant–herbivore interactions, allowing us to determine the mechanisms through which climate impacts biological and ecological processes involved in these functions.

Key words: canopy geometry; fruit tree; leaf area; leaf coordinates; leaf orientation; light interception; microclimate; plant architecture, rubber tree, 3-D digitizing.

The complete data sets corresponding to abstracts published in the Data Papers section of the journal are published electronically in Ecological Archives at (http://esapubs.org/archive). (The accession number for each Data Paper is given directly beneath the title.)

Manuscript received 29 January 2008; revised 23 September 2008; accepted 30 September 2008. Corresponding Editor: W. K. Michener.
8 Deceased 14 September 2008.
9 Author for correspondence: sylvain.pincebourde@univ-tours.fr
3D maps of tree canopy geometries at leaf scale

Hervé Sinoquet1*, Sylvain Pincebourde2, Boris Adam1, Nicolas Donès1, Jessada Phattaralerphong134, Didier Combes5, Stéphane Ploquin1, Krissada Sangsing6, Poonpipope Kasemsap7, Sornprach Thanisawanyangkura3, Géraldine Groussier-Bout2, Jérôme Casas2

1 UMR547 PIAF, INRA, Université Blaise Pascal, 63100 Clermont Ferrand, France.
2 Institut de Recherche sur la Biologie de l’Insecte, Université François Rabelais, Faculté des Sciences et Techniques, 37200 Tours, France.
3 Faculty of Botany, Kasetsart University, 50 Paholyothin Road, Chatuchak, Bangkok. 10900. Thailand.
4 Faculty of Natural Resources and Agro-Industry, Kasetsart University Chalermphrakiat Sakonnakhon Province Campus, 59 Moo1 Sakonnakhon-Nakhonphranom Rd., Moung District, Sakonnakhon Province, 47000, Thailand.
5 UR4 Unité d’Ecophysiologie des Plantes Fourragères, INRA, BP 6, 86600 Lusignan, France.
6 Surat Thani Rubber Research Center, Office of Agricultural Research and development Region 7, Department of Agriculture, Thailand
7 Faculty of Horticulture, Kasetsart University, 50 Paholyothin Road, Chatuchak, Bangkok. 10900. Thailand.

*Deceased on September 14th 2008.

Author for correspondence: sylvain.pincebourde@univ-tours.fr
ABSTRACT

The geometrical structure of plant canopies has many implications for plant functioning, microclimatic conditions and plant-pathogen/herbivore interactions. Plant geometry can be described at several scales. At the finest scale, canopy structure includes the shape, the size, the location and orientation of each organ in the canopy. This dataset reports the three-dimensional (3D) geometry of a set of fruit and rubber trees at the leaf scale. A 3D magnetic digitizer was used to measure the spatial co-ordinates and the orientation angles of each leaf, namely the midrib azimuth and inclination angles, and the rolling angle of leaf lamina around the midrib. In addition, for most trees, branching or flush order is given as well as the ranking of leaves along branches and the leaf identity if leaflet in compound-leaved trees. Leaf length was also measured for most trees. Leaf width was also measured or estimated based on allometric relationships. Leaf area was derived from allometric relationships with leaf length and width. The dataset includes the 3D geometry of six trees: one apple, two mangos, two rubbers and one walnut. Plant height ranged from 1.6 m for mango trees to 5.3 m for the large rubber tree. The number of leaves ranged from 895 for the small rubber tree to 26,283 for the apple tree. Total leaf area ranged from 3.6 m² for the small rubber tree to 36.4 m² for the apple tree. Most of the data were used to show how canopy geometry determines light interception and subsequently plant primary production and fruit yield. The dataset was also used to test the quality of innovative methods for canopy structure description at tree scale. The apple tree was used to study the thermal environment of a leaf miner insect, to show how canopy geometry leads to a strongly heterogeneous risk of mortality, in particular under heat wave conditions. Overall, our dataset provides explicit plant architectures suitable for spatial modeling of plant physiological ecology and plant-herbivore interactions, allowing us to reveal the mechanisms through which climate impacts biological and ecological processes involved in these functions.

KEY WORDS
3D digitizing, canopy geometry, fruit tree, rubber tree, leaf area, leaf co-ordinates, leaf orientation, light interception, plant architecture, microclimate.
INTRODUCTION

Plant architecture has a strong impact on various ecologically relevant processes. The canopy architecture of plants determines directly light interception (e.g. Ackerly and Bazzaz 1995; Sinoquet et al. 2001). The close relationships between individual organ irradiance and plant architecture imposes a spatial-dependence on (i) leaf gas exchange (CO$_2$ assimilation, water vapor loss), anatomy and nutrient content (e.g. Le Roux et al. 1999; Piel et al. 2002), (ii) plant growth and productivity (e.g. Evers et al. 2005), and (iii) the dynamics of populations (e.g. Schmid and Bazzaz 1994), for example in the context of competition for light (e.g. Tremmel and Bazzaz 1993; Sipe and Bazzaz 1994). In the last decade, tools, concepts and models for the elaboration of 3D plant representations have been extensively developed, improving our understanding of both the spatial distribution of plant biological processes and the relation between plant structure and function (Godin and Sinoquet 2005; Pearcy et al. 2005). Those studies indicated that describing canopy geometry down to leaf scale can be useful to accurately model and predict plant performance (e.g. Sinoquet et al. 2007). Such level of detail would also improve our ability to determine the impact of both climate variability (both intra- and inter-annual) and global change on plant performance and, more globally, energy fluxes in canopies. As of today, spatial geometry of plant canopies has never been considered explicitly in the context of climate change and its ecological impacts, except for Pincebourde et al. (2007).

Plant canopy architecture influences other organisms. Plants interact with their environment according to the 3D distribution of plant organs, and modify their microclimate mainly through light interception, wind attenuation and water vapor release (Nobel 1999; Godin and Sinoquet 2005). The canopy microclimate is highly heterogeneous and has a complex spatial and temporal structure (e.g. Sinoquet et al. 2001; Chelle 2005). Several studies showed that insects clearly exploit the microclimatic heterogeneity of their food-plant by moving from a location to another within a single plant during the day (e.g. Willmer 1986). Describing canopy architecture down to leaf scale is crucial to determine whether an insect resting on a leaf at a given location within canopy is exposed to solar radiation (Pincebourde et al. 2007). The metabolism associated to nutritional processes of phytophagous insects depends on leaf attributes, i.e. assimilation rate, transpiration and surface temperature (van Loon et al. 2005), and is therefore also affected by canopy geometry. In particular, the paths taken by insects foraging for mates and food cannot be understood without reference to, and modeling of the geometry of the environment in which they move (Casas and Aluja 1997;
Casas and Djemai 2002). However, few studies have explicitly linked 3D plant architecture and behavioral or physiological ecology of herbivores through the impacts of plant geometry and leaf physiology on fine-scale microenvironmental patterns relevant to the insects.

We have carried out several projects over the last decade, based on experiments using 3D plant architecture models. The first one was to evaluate the effect of canopy structure on light interception properties of trees. Total light interception has been shown to be related to primary production in beech (Balandier et al. 2007) and to fruit yield in apple orchards (Wünsche et al. 1996). Moreover, light distribution within a canopy influences fruit quality, e.g. anthocyanin content (Mabrouk and Sinoquet 1998). The second objective was to detail the impact of plant canopy geometry on the thermal ecology of an endophytic herbivore attacking apple leaves (Pincebourde and Casas 2006; Pincebourde et al. 2007). Apple leaf miners feed and develop at a fixed position, i.e. inside leaves within canopies. Their thermal budget and metabolism depends on both their position within the canopy and the architecture of this canopy, leading to a strongly heterogeneous risk of mortality under severe climatic conditions (e.g. heat wave events) and high variance of developmental time at canopy scale. The third objective was the development of concepts and methods (especially photo methods) using 3D plant models to estimate the pertinent canopy structure parameters at tree scale (Phattaralerphong and Sinoquet 2005; Phattaralerphong et al. 2006). Plant 3D architecture models made it possible to generate virtual plant images (2D), where computer algorithms can be applied to re-generate 3D structural attributes (e.g. crown volume and total leaf area). The comparison between structural attributes computed from the database obtained by digitizing the trees and inferred from the photo method was used as a way for testing the photo method accuracy (i.e. the deviation between inferred and measured structural parameters like total leaf area). The advantage was that several sources of uncertainty were removed (e.g. with regard to image processing, or field measurements of plant structure attributes).

All these three projects needed 3D representations of the tree canopy, namely the spatial distribution of leaf attributes, i.e. leaf size and area, spatial co-ordinates and angular orientation. The dataset reports this information for a set of 6 trees, i.e. one apple, two mangos, two rubbers and one walnut. We offer an unprecedented compilation of several 3D models of different plant architectures, to be used by both physiologists and ecologists, working at the organism or population levels, on any organism living in canopies, when studying organismal performance in heterogeneous environments. The dataset can be implemented readily into any spatially explicit ecological and/or physiological model to reveal the impact of local heterogeneity (i.e. canopy scale) on organism and population
performance. Thus, the dataset is flexible as one can easily use the architecture and modify leaf size and/or density. Our hope is that these data will serve as a basis to mechanistic studies investigating the impact of climate on plant-organism interactions, which are urgently needed in the current context of global change.

METADATA

CLASS I. Data set descriptors.

A. Data set identity

Title: Leaf-scale 3D maps of tree canopy geometries.

B. Data set identification code

Suggested data set identity code: We provide 6 separate data sets, one for each tree: Apple_Tree.txt; Mango_Tree1.txt; Mango_Tree2.txt; Rubber_Tree1.txt; Rubber_Tree2.txt; Walnut_Tree.txt

C. Data set description

Principal investigators:
Hervé Sinoquet, UMR547 PIAF, INRA, Université Blaise Pascal, F-63100 Clermont Ferrand, France.
Sylvain Pincebourde, Institut de Recherche sur la Biologie de l’Insecte, UMR6035, CNRS, Université François Rabelais, Faculté des Sciences et Techniques, 37200 Tours, France.
Boris Adam, UMR547 PIAF, INRA, Université Blaise Pascal, F-63100 Clermont Ferrand, France.
Nicolas Donès, UMR547 PIAF, INRA, Université Blaise Pascal, F-63100 Clermont Ferrand, France.
Jessada Phattaralerphong, Faculty of Natural Resources and Agro-Industry, Kasetsart University Chalermprakiat Sakonnakhon Province Campus, 59 Moo1 Sakonnakhon-Nakhonphranom Rd., Moung District, Sakonnakhon Province, 47000, Thailand.
Abstract:
The geometrical structure of plant canopies has many implications for plant functioning, microclimatic conditions and plant-pathogen/herbivore interactions. Plant geometry can be described at several scales. At the finest scale, canopy structure includes the shape, the size, the location and orientation of each organ in the canopy. This dataset reports the three-dimensional (3D) geometry of a set of fruit and rubber trees at the leaf scale. A 3D magnetic digitizer was used to measure the spatial co-ordinates and the orientation angles of each leaf, namely the midrib azimuth and inclination angles, and the rolling angle of leaf lamina around the midrib. In addition, for most trees, branching or flush order is given as well as the ranking of leaves along branches and the leaf identity if leaflet in compound-leaved trees. Leaf length was also measured for most trees. Leaf width was also measured or estimated based on allometric relationships. Leaf area was derived from allometric relationships with leaf length and width. The dataset includes the 3D geometry of six trees: one apple, two mangos, two rubbers and one walnut. Plant height ranged from 1.6 m for mango trees to 5.3 m for the large rubber tree. The number of leaves ranged from 895 for the small rubber tree to 26,283 for the apple tree. Total leaf area ranged from 3.6 m² for the small rubber tree to 36.4 m² for the apple tree. Most of the data were used to show how canopy geometry determines light interception and subsequently plant primary production and fruit yield. The dataset was also used to test the quality of innovative methods for canopy structure description at tree scale. The apple tree was used to study the
thermal environment of a leaf miner insect, to show how canopy geometry leads to a strongly heterogeneous risk of mortality, in particular under heat wave conditions. Overall, our dataset provides explicit plant architectures suitable for spatial modeling of plant physiological ecology and plant-herbivore interactions, allowing us to reveal the mechanisms through which climate impacts biological and ecological processes involved in these functions.

D. Key words
3D digitizing, canopy geometry, fruit tree, rubber tree, leaf area, leaf co-ordinates, leaf orientation, light interception, plant architecture, microclimate.

METADATA CLASS II. Research origin descriptors.

A. Overall project description

Identity: Light interception by trees and environmental biophysics of endophytic herbivores.

Originators: Hervé Sinoquet and Jérôme Casas.


Objectives: To understand the effect of canopy structure on light interception by trees and partitioning between tree components; To understand how the herbivore feeding activity impacts the animal’s thermal environment and performance from leaf to canopy scale; To test simplified methods to describe the canopy structure of trees.

Abstract: The aim of the project is:
- Light interception: i) to identify canopy structure parameters which influence light interception by isolated trees; ii) to relate local leaf irradiance to the spatial distribution of leaf physiological properties in the tree crown.
- Spatial distribution of herbivores’ performance within canopies: (i) to analyze the physiological interaction between an endophytic insect (leaf miner) and its host plant, (ii) to quantify the effects of plant tissue modifications on the thermal environment of the herbivore at leaf scale, (iii) to model the insect thermal environment at plant canopy scale to estimate the differences in developmental times within a tree canopy.
- Test of simplified methods for canopy structure description: i) to test a photo method for estimating crown volume and total leaf area; ii) to test foliage reconstruction methods from a sub-sample of 3D-digitised leaves.

**Source of funding:** This project corresponds to a set of doctoral positions funded by the French Ministry of Scientific Research and the French Embassy in Thailand. Additional funding was obtained from INRA and from the ACI Ecologie quantitative ‘Ecologie physique des organismes’.

**B. Specific subproject description**

**Site description:**
Globally, the trees described below were selected mainly for their potential to show a pronounced radiative and thermal gradient through the canopy. This gradient can result from interception of radiation by outer foliage layers, for example. This characteristic was important given our initial objectives to capture significant level of spatial heterogeneity at canopy scale. Accessibility of a tree was also an important criterion as deploying and using the digitizer requires free space all around the tree canopy.

**Apple tree:** The 20-year-old apple tree (*Malus communis*) was located in a private property in Vouvray, near Tours, France (47.37° N, 0.67°E). The tree was located in an open area, with two neighboring apple trees. There was no contact between the canopies of each tree. The alignment of the three trees was East-West orientated. The tree canopy was approximately 4.8 m in height, and 4.1 m and 4.9 m in the East-West and North-South directions, respectively (Table 1). The tree was measured in summer 2004. This apple tree is representative of an apple tree in a typical agricultural landscape.
**Mango trees:** The two two-year-old mango trees (*Mangifera indica* cv. Nam Dok Mai) were grown in an orchard in Ban Bung, near Chonburi, Thailand (13.17°N 101.25°E). Tree spacing was 5 x 5 meters with a North-South row orientation. At the time of digitizing (i.e. November 1997), trees were markedly isolated with a distance of about 3 meters between tree crowns. The size of both trees was similar and representative of the orchard, with height and diameter about 1.6-1.7 m (Table 1).

**Rubber trees:** Both trees (*Hevea brasiliensis*) were grown as isolated trees. The smaller 1.5-year-old tree (clone ‘RRIT251’) was grown in the garden of Department of Agronomy, Kasetsart University, Bangkok, Thailand (13.85°N, 100.75°E). The bigger one (clone ‘RRIM600’) was three-year old, and grown in Suwan Wajokkasikit Field Crops Research Station, Pak Chong, Thailand (14.7°N, 101.24°E). Tree height and diameter were 3.9 and 1.4 m, and 5.3 and 3.9 m, for the smaller and bigger tree, respectively (Table 1).

**Walnut tree:** The three-year-old walnut tree (*Juglans intermedia* NG38xRA) was grown in a research field at INRA Research Center in Clermont-Ferrand, France (45.78° N, 3.08° E). Tree spacing was 7 and 10 m on the line and between rows, respectively, with East-West row orientation. Tree height and diameter were 3.9 and 1.4 m, respectively (Table 1). The walnut tree was selected for its accessibility and for its representativeness of the trees present in the field in term of general shape.

**Experimental or sampling design:** Leaf location and orientation were measured using an electromagnetic 3D digitizer (Fastrak, Polhemus Inc., Colchester, VT, USA). For all trees but the apple, all leaves or leaflets in case of compound leaves (i.e. the walnut and rubber trees) were digitized. In case of the apple tree, about eighty percent of the tree canopy was digitized at leaf scale. The remainder of the tree (about 20%), which was not digitized, corresponded to the portion of the tree (North-oriented) which never receives radiation. It did not shade the other plant portions. This portion can however be modeled as a homogeneous matrix of leaves by users if in need to have a complete 3D plant model. For all trees, the area of each leaf was estimated from allometric relationships obtained from leaves sampled within the canopy.
Research methods:

Material: the 3D digitizer is described in Sinoquet et al. (1998). Briefly, the device consists of a pointer which measures both location and orientation of a leaf within an electromagnetic field created by an emitter positioned below the tree. In the field, the error in location is about 1 cm and results from plant movements generated by wind and operator placement. Digitizing was completed under calm conditions (ie. low wind conditions). Though we acknowledge that our data can be applied only under calm conditions, capturing the geometry of a plant under windy conditions is currently virtually not feasible. Spatial co-ordinates (3D: $x$, $y$ and $z$) and orientation of each leaf were obtained from a single record. Orientation consisted of the three Euler’s angles: azimuth angle (rotation around axis $z$), inclination angle (rotation around axis $y$) and rolling angle (rotation around axis $x$) (Sinoquet et al. 1998). For each leaf or leaflet, the digitized point was the junction between petiole and lamina. The pointer was held parallel to the leaf blade, and the pointer axis was parallel to the leaf midrib as proposed by Sinoquet et al. (1998). Orientation angles given by the digitizer were therefore the midrib azimuth, the midrib elevation and lamina rolling around the midrib.

Field/laboratory: the space referential was fixed on the ground at the beginning of the experiment. By convention, the X, Y and Z axis were North, East and vertical downwards oriented, respectively. Two persons were necessary for the digitization process, the first one handing the pointer within the tree and the second one observing and controlling for the data-capture process on the computer screen. Strict rules were applied to avoid digitizing several times a same leaf (or leaflet): we measured each main branch successively, and we followed all branches (first and secondary branches etc.) from the proximal to distal tip of each branch. For most tree specimens (see details below), we therefore know precisely the rank order of leaves along each last-order branch (from bottom of a last-order branch to the apex). In the case of mango trees, branching architecture is not available but these trees were digitized according to their flushes. Mangoes can have two or three growing periods per year and the leaves resulting from each of these periods are assigned to their respective flush. In the case of compound-leaved species (rubber trees and walnut), the dataset give information on affiliation of leaflets to leaves.
For all trees, leaf or leaflet length (i.e. lamina length, excluding petiole) was measured with a ruler. For mango and walnut trees, maximum leaf width was also measured. In apple and rubber trees (i.e. when leaf width was not measured for all tree leaves), an allometric relationship was established between maximum leaf width (W, cm) and leaf length (L, cm) measured with a ruler on a sample of 50 – 100 leaves. W was correlated to L, with a scaling coefficient in the range 0.408 – 0.437 (Table 1). The relationship was used to estimate maximum leaf width of all digitized leaves.

For all trees, allometric relationships between leaf area (A, cm²) and the product L*W were established on a set of 50-100 freshly sampled leaves. Leaf area was measured with a Licor 3100 area meter (Licor Inc., Lincoln, Nebraska, USA). For all trees, leaf area was strongly correlated (r²>0.98, P<0.001) to the product L*W, with a slope in the range 0.613 – 0.735 (Table 1). The relationships were used to estimate the leaf area of all leaves in the trees. For the apple tree, leaf length was measured with a ruler at the same time as digitizing for leaves in the first measured branch, i.e. 5,015 leaves. We verified that leaf length was not correlated to location on any of the three axes. Then, for the other branches, leaf length was generated following this method: first, the mean leaf length found previously (mean ± SD = 5.45 ± 2.06 cm) was assigned to each leaf and it was then allowed to vary within the variance we measured previously and according to the normal distribution of leaf length we measured. Therefore, leaf length was weighted by the actual distribution of measured leaves.

**Project personnel (for each subproject):**

- Light interception: Hervé Sinoquet, Boris Adam, Nicolas Donès, Jessada Phattaralerphong, Didier Combes, Krissada Sangsing, Poonpipope Kasemsap, Sornprach Thanisawanyangkura.
- Spatial distribution of herbivores’ performance within canopies: Sylvain Pincebourde, Jérôme Casas, Hervé Sinoquet, Géraldine Groussier-Bout.
- Test of simplified methods for canopy structure description: Hervé Sinoquet, Boris Adam, Nicolas Donès, Jessada Phattaralerphong.
METADATA CLASS III. Data set status and accessibility.

A. Status

**Latest update:** September 21, 2008.

**Latest archive update:** N/A.

**Metadata status:** January 22, 2008, metadata is current.

**Data verification:** Data has undergone substantial data quality and assurance checking.

B. Accessibility

**Storage location and medium:** Original data file exists on authors’ personal computers (S. Pincebourde, B. Adam, N. Donès and J. Casas) in tabular Excel format.

**Contact persons:**
Sylvain Pincebourde, Institut de Recherche sur la Biologie de l’Insecte, UMR6035, CNRS, Université François Rabelais, Faculté des Sciences et Techniques, 37200 Tours, France. Email: sylvain.pincebourde@univ-tours.fr
Nicolas Donès, UMR547 PIAF, INRA, Université Blaise Pascal, Site de Crouelle, 234 avenue du Brézet, 63100 Clermont Ferrand, France. Email: dones@clermont.inra.fr
Boris Adam, UMR547 PIAF, INRA, Université Blaise Pascal, Site de Crouelle, 234 avenue du Brézet, 63100 Clermont Ferrand, France. Email: adam@clermont.inra.fr
Jérôme Casas, Institut de Recherche sur la Biologie de l’Insecte, UMR6035, CNRS, Université François Rabelais, Faculté des Sciences et Techniques, 37200 Tours, France. Email: jerome.casas@univ-tours.fr

**Copyright restrictions:** None.
Proprietary restrictions: None.

Costs: None, data are free for scientific or scholar use.

METADATA CLASS IV. Data structural descriptors.

A. Data set files

Identity: Apple_Tree.txt; Mango_Tree1.txt; Mango_Tree2.txt; Rubber_Tree1.txt; Rubber_Tree2.txt; Walnut_Tree.txt

Size: The number of rows (including header row), and columns are given for each file:
Apple: 26,255 rows and 17 columns;
Mango1: 1,637 rows and 11 columns;
Mango2: 2,460 rows and 11 columns;
Rubber1: 896 rows and 10 columns;
Rubber2: 12,142 rows and 14 columns;
Walnut: 1,559 rows and 12 columns.

Format and storage mode: ASCII text, tab delimited. No compression schemes were used.

Header information: The first 10 columns are similar for all data sets and their header is (from left to right; unit is given in brackets): Entity#, Length (cm), Width (cm), Area (cm²), TransX (cm), TransY (cm), TransZ (cm), RotX (degrees), RotY (degrees) and RotZ (degrees). Definitions of variables are given below in section B ‘variable definitions’. More information is given for all trees but Rubber1. The amount of additional information available differs from tree to tree as they were digitized for different research purposes. Therefore, the eleventh column and the followings are specific to each dataset:

• Apple: First, Second, Third, Fourth, Fifth, Sixth and Leaf_Pos.
• Mango1: Flush#.
- Mango2: Flush#.
- Rubber2: First, Second, Third and Leaf_Pos.
- Walnut: First and Leaf_Pos.

**Alphanumeric attributes:** Mixed.

**Special characters / fields:** There are no missing values. The absence of a ranking for a given branch-order implies that this order does not exist for the given branch (i.e. the last order of the branch corresponds to the last rank number given).

**Authentification procedures:** The sums for the first 10 numeric columns are given below for each file:

<table>
<thead>
<tr>
<th>Tree (dataset file)</th>
<th>Factor</th>
<th>Apple</th>
<th>Mango1</th>
<th>Mango2</th>
<th>Rubber1</th>
<th>Rubber2</th>
<th>Walnut</th>
</tr>
</thead>
<tbody>
<tr>
<td>Entity#</td>
<td>26,254</td>
<td>1,636</td>
<td>2,459</td>
<td>895*</td>
<td>12,141*</td>
<td>1,558*</td>
<td></td>
</tr>
<tr>
<td>Length</td>
<td>142,932.7</td>
<td>24,952.5</td>
<td>33,452.6</td>
<td>10,660</td>
<td>115,373.7</td>
<td>18,066</td>
<td></td>
</tr>
<tr>
<td>Width</td>
<td>93,370.8</td>
<td>6,400.3</td>
<td>9,217.6</td>
<td>4,383</td>
<td>47,018.3</td>
<td>8,113.7</td>
<td></td>
</tr>
<tr>
<td>Area</td>
<td>363,729</td>
<td>64,752</td>
<td>82,740.3</td>
<td>36,112.5</td>
<td>339,012</td>
<td>73,541</td>
<td></td>
</tr>
<tr>
<td>TransX</td>
<td>-6,069,719.7</td>
<td>7.4</td>
<td>6.5</td>
<td>-556.8</td>
<td>104,386.6</td>
<td>3,433.2</td>
<td></td>
</tr>
<tr>
<td>TransY</td>
<td>-3,019,216.7</td>
<td>-2.1</td>
<td>-3.1</td>
<td>-12,114.7</td>
<td>2,089.3</td>
<td>1,392.9</td>
<td></td>
</tr>
<tr>
<td>TransZ</td>
<td>-6,174,121.9</td>
<td>-203,355.8</td>
<td>-289,347.5</td>
<td>-289,141.8</td>
<td>-4,350,188.3</td>
<td>-220,026.2</td>
<td></td>
</tr>
<tr>
<td>RotX</td>
<td>176,615</td>
<td>-7,114.2</td>
<td>-13,304.9</td>
<td>-1,789.2</td>
<td>-10,587.4</td>
<td>-1,684</td>
<td></td>
</tr>
<tr>
<td>RotY</td>
<td>13,102.9</td>
<td>-41,629.8</td>
<td>-60,826.3</td>
<td>-14,325.4</td>
<td>-195,321.6</td>
<td>-30,972.3</td>
<td></td>
</tr>
<tr>
<td>RotZ</td>
<td>4,698,661.3</td>
<td>290,404.7</td>
<td>451,725.7</td>
<td>150,856.7</td>
<td>1,959,293.8</td>
<td>276,212.5</td>
<td></td>
</tr>
</tbody>
</table>

The asterisk (*) denotes that the basic entity is not the leaf but the leaflet.
The sums for the additional numeric factors (eleventh column and after) are given in the following table for each file:

<table>
<thead>
<tr>
<th>Factor</th>
<th>Apple</th>
<th>Mango1</th>
<th>Mango2</th>
<th>Rubber1</th>
<th>Rubber2</th>
<th>Walnut</th>
</tr>
</thead>
<tbody>
<tr>
<td>First</td>
<td>426,975</td>
<td>N/A</td>
<td>N/A</td>
<td>21,751</td>
<td>6,033</td>
<td></td>
</tr>
<tr>
<td>Second</td>
<td>206,360</td>
<td>N/A</td>
<td>N/A</td>
<td>47,226</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>Third</td>
<td>160,120</td>
<td>N/A</td>
<td>N/A</td>
<td>21,880</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>Fourth</td>
<td>51,810</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>Fifth</td>
<td>7,932</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>Sixth</td>
<td>282</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>Leaf_Pos</td>
<td>331,377</td>
<td>N/A</td>
<td>N/A</td>
<td>624,113*</td>
<td>18,450*</td>
<td></td>
</tr>
<tr>
<td>Flush#</td>
<td>N/A</td>
<td>4,205</td>
<td>4,451</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
</tbody>
</table>

N/A signifies that the given factor is not available for the given tree.

*In case of compound-leaved trees, the ranking of the leaves identifies leaflets which belong to a same leaf (i.e. leaflets have the same leaf ranking).
B. Variable definitions

The table below gives the definition of the variables that are common to all data sets (ie corresponding to the first 10 columns). The range of numeric values is given by merging all data sets.

<table>
<thead>
<tr>
<th>Variable name</th>
<th>Variable definition</th>
<th>Units</th>
<th>Storage type</th>
<th>Range</th>
</tr>
</thead>
<tbody>
<tr>
<td>Entity#</td>
<td>Identification number of the leaves or leaflets; The last number gives the total number of leaves or leaflets</td>
<td>N/A</td>
<td>Floating point</td>
<td>1 to 26,254</td>
</tr>
<tr>
<td>Length</td>
<td>Length of a leaf, taken along the leaf midrib</td>
<td>Centimeters</td>
<td>Floating point</td>
<td>0.8 to 29.8</td>
</tr>
<tr>
<td>Width</td>
<td>Maximal leaf width, taken in the middle of the limb</td>
<td>Centimeters</td>
<td>Floating point</td>
<td>0.7 to 10.1</td>
</tr>
<tr>
<td>Area</td>
<td>Estimated area of a leaf</td>
<td>Square</td>
<td>Floating point</td>
<td>0.9 to 160.4</td>
</tr>
<tr>
<td>TransX</td>
<td>Leaf co-ordinate along the axis X (translation from axis X)</td>
<td>Centimeters</td>
<td>Floating point</td>
<td>-446 to 197.8</td>
</tr>
<tr>
<td>TransY</td>
<td>Leaf co-ordinate along the axis Y (translation from axis Y)</td>
<td>Centimeters</td>
<td>Floating point</td>
<td>-333.9 to 157.6</td>
</tr>
<tr>
<td>TransZ</td>
<td>Leaf co-ordinate along the axis Z (translation from axis Z)</td>
<td>Centimeters</td>
<td>Floating point</td>
<td>-531.7 to –33.4</td>
</tr>
<tr>
<td>RotX</td>
<td>Rolling angle of Euler. It corresponds to the twist angle of the lamina from the midrib</td>
<td>Degrees</td>
<td>Floating point</td>
<td>-180.0 to 180.0</td>
</tr>
<tr>
<td>RotY</td>
<td>Inclination angle of Euler. It corresponds to the inclination of the midrib</td>
<td>Degrees</td>
<td>Floating point</td>
<td>-89.1 to 88.5</td>
</tr>
<tr>
<td>RotZ</td>
<td>Azimuth of the leaf midrib.</td>
<td>Degrees</td>
<td>Floating point</td>
<td>0.0 to 360.0</td>
</tr>
</tbody>
</table>
The table below describes the additional variables given in the dataset. The range of numeric values is given by merging all data sets in which a factor appears.

<table>
<thead>
<tr>
<th>Variable name</th>
<th>Variable definition</th>
<th>Units</th>
<th>Storage type</th>
<th>Range</th>
</tr>
</thead>
<tbody>
<tr>
<td>First</td>
<td>Number of the first order branch to which a leaf belong to</td>
<td>N/A</td>
<td>Floating point</td>
<td>1 to 32</td>
</tr>
<tr>
<td>Second</td>
<td>Number of the second order branch to which a leaf belong to</td>
<td>N/A</td>
<td>Floating point</td>
<td>1 to 38</td>
</tr>
<tr>
<td>Third</td>
<td>Number of the third order branch to which a leaf belong to</td>
<td>N/A</td>
<td>Floating point</td>
<td>1 to 33</td>
</tr>
<tr>
<td>Fourth</td>
<td>Number of the fourth order branch to which a leaf belong to</td>
<td>N/A</td>
<td>Floating point</td>
<td>1 to 26</td>
</tr>
<tr>
<td>Fifth</td>
<td>Number of the fifth order branch to which a leaf belong to</td>
<td>N/A</td>
<td>Floating point</td>
<td>1 to 15</td>
</tr>
<tr>
<td>Sixth</td>
<td>Number of the sixth order branch to which a leaf belong to</td>
<td>N/A</td>
<td>Floating point</td>
<td>1 to 4</td>
</tr>
<tr>
<td>Leaf_Pos</td>
<td>Position of the leaf along the last order branch from the departure to the apex of the branch</td>
<td>N/A</td>
<td>Floating point</td>
<td>1 to 229</td>
</tr>
<tr>
<td>Flush#</td>
<td>Flush number from younger (#1) to older (#6) flush</td>
<td>N/A</td>
<td>Floating point</td>
<td>1 to 6</td>
</tr>
</tbody>
</table>
C. Data set references

N/A.

METADATA CLASS V. Supplemental descriptors.

A. Data acquisition

Data forms: N/A.

Location of completed data forms: N/A.

B. Quality assurance / quality control procedures

Quality of the dataset was controlled during three steps. First, several benchmarks, consisting in white paint spots, were made on the trunk of the trees. Their spatial coordinates were measured each day at the beginning of a digitalization session to ensure that the data capture is well functioning (ie. benchmarks should have the same co-ordinates every day). Second, co-ordinates of each digitized leaf were verified for their coherence with reality by the second experimenter on the computer screen at the time of measurement. This verification allowed detecting and correcting for aberration points. Finally, the 3D canopy representations were elaborated using the VegeSTAR3 software program (Adam et al. 2002). These representations were compared visually to photographs of the actual tree to check that the general shape of the tree is respected. A 3D representation of the trees is given in Fig. 1.

C. Related materials

D. Computer programs and data processing algorithms

E. Archiving
F. Publications and results

All six datasets have been used in previously published studies. Below are the references of these articles (the name of the corresponding dataset(s) is indicated in square brackets):


Phattaralerphong, J., J. Sathornkich and H. Sinoquet. 2006. A photographic gap fraction method for estimating leaf area of isolated trees: assessment with 3D digitized plants. Tree Physiology 26: 1123-1136. [Mango_Tree2; Rubber_Tree1; Rubber_Tree2; Walnut_Tree].


All datasets will be used in several other forthcoming publications.
G. History of data set usage

Data request history: To present, the dataset has been used solely by the authors themselves.

H. Data set update history

Review history:

Questions and comments from secondary users:

ACKNOWLEDGMENTS

This Data Paper stands in the memory of Hervé Sinoquet who left us on September 14th, 2008. We are grateful to Jean Guern and Dominique Lidoreau, the owners of the apple tree; Jean-Luc Regnard and Evelyne Costes for lending the 3D digitizer for the apple tree.

LITERATURE CITED


TABLE

Table 1. Characteristics of the 3D digitized trees. Digitizing scale (element type): leaf or leaflet; Number of digitized elements; Total leaf area (TLA, m²); Tree height (m); Crown diameter (m) along the North-South (X) and East-West (Y) directions; Mean crown diameter (m); Measured attributes on all elements (L, leaf length, W, maximum leaf width); Slope of the allometric relationship between leaf area and the product L*W; Slope of the allometric relationship between maximum leaf width and leaf length.

<table>
<thead>
<tr>
<th>Tree</th>
<th>Apple</th>
<th>Mango1</th>
<th>Mango2</th>
<th>Rubber1</th>
<th>Rubber2</th>
<th>Walnut</th>
</tr>
</thead>
<tbody>
<tr>
<td>Element type</td>
<td>Leaf</td>
<td>Leaf</td>
<td>Leaf</td>
<td>Leaflet</td>
<td>Leaflet</td>
<td>Leaflet</td>
</tr>
<tr>
<td>Number</td>
<td>26283</td>
<td>1636</td>
<td>2459</td>
<td>895</td>
<td>12141</td>
<td>1558</td>
</tr>
<tr>
<td>TLA (m²)</td>
<td>36.40</td>
<td>6.48</td>
<td>8.27</td>
<td>3.61</td>
<td>33.91</td>
<td>7.35</td>
</tr>
<tr>
<td>Height (m)</td>
<td>4.1</td>
<td>1.7</td>
<td>1.6</td>
<td>3.9</td>
<td>5.3</td>
<td>2.8</td>
</tr>
<tr>
<td>Diam N-S (m)</td>
<td>5.3</td>
<td>1.7</td>
<td>1.8</td>
<td>1.5</td>
<td>4.0</td>
<td>1.9</td>
</tr>
<tr>
<td>Diam E-W (m)</td>
<td>4.9</td>
<td>1.5</td>
<td>1.5</td>
<td>1.3</td>
<td>3.8</td>
<td>1.5</td>
</tr>
<tr>
<td>Mean diameter (m)</td>
<td>5.1</td>
<td>1.6</td>
<td>1.7</td>
<td>1.4</td>
<td>3.9</td>
<td>1.7</td>
</tr>
<tr>
<td>Slope A-LW</td>
<td>0.689</td>
<td>0.620</td>
<td>0.620</td>
<td>0.613</td>
<td>0.626</td>
<td>0.735</td>
</tr>
<tr>
<td>Slope W-L</td>
<td>0.408</td>
<td>---</td>
<td>---</td>
<td>0.437</td>
<td>0.408</td>
<td>---</td>
</tr>
</tbody>
</table>

FIGURE CAPTION

Figure 1. Representations of the 3D digitized tree models as generated with VegeSTAR software (Adam et al. 2002). Leaves were abstracted by asymmetrical hexagons obeying the allometric relationship between leaf area and the product [leaf length time maximum leaf width]. The trees are viewed with an elevation and azimuth angles of 15° and 90°, respectively A) Apple tree (Image width, IW, equals 5 m); B) Mango tree #1 (IW=2 m); C) Mango tree #2 (IW=2 m); D) Rubber tree #1 (IW=2 m); E) Rubber tree #2 (IW=4.5 m); F) Walnut tree (IW=3 m).
Fig. 1B
Fig. 1D